#### **ENTROPY BALANCES**

#### I. CLOSED SYSTEM

A. Irreversible processes:

$$\Delta S = S_2 - S_1 = \int_{1}^{2} \frac{\delta Q}{T} + S_{gen} \left(\frac{kJ}{K}\right)$$

B. Reversible processes:  $S_{gen} = 0$ 

$$S_2 - S_1 = \int_1^2 \frac{\delta Q}{T} \left(\frac{kJ}{K}\right)$$

C. Adiabatic irreversible processes: Q = 0

$$S_2 - S_1 = S_{gen} \left(\frac{kJ}{K}\right)$$

D. Adiabatic and reversible processes

$$S_2 - S_1 = 0$$

E. Cycle

$$0 = \oint \frac{\delta Q}{T} + S_{gen}\left(\frac{kJ}{K}\right) = \sum_{k} \frac{Q_{k}}{T_{surr}} + S_{gen}\left(\frac{kJ}{K}\right)$$

### **II. OPEN SYSTEM (CONTROL VOLUME)**

A. Non-steady-state and irreversible control volume

$$\frac{dS_{ev}}{dt} = \sum_{k} \left(\frac{\dot{Q}}{T}\right)_{k} + \sum_{i} \dot{m}_{i} s_{i} - \sum_{e} \dot{m}_{e} s_{e} + \dot{S}_{gen} \left(\frac{kw}{K}\right)$$

B. Steady-State and irreversible control volume

$$\sum_{e} \dot{m}_{e} s_{e} - \sum_{i} \dot{m}_{i} s_{i} = \sum_{k} \left( \frac{\dot{Q}}{T} \right)_{k} + \dot{S}_{gen} \left( \frac{kw}{K} \right)$$

C. Steady state and one inlet (1) and one outlet (2) and irreversible control volume

$$\dot{m}\Delta s = \dot{m}(s_2 - s_1) = \sum_{k} \left(\frac{\dot{Q}}{T}\right)_k + \dot{S}_{gen}\left(\frac{kw}{K}\right)$$

D. Steady-state, one inlet and outlet and adiabatic control volume

$$\dot{m}(s_2 - s_1) = \dot{S}_{gen}\left(\frac{kw}{K}\right)$$

E. Steady state, one inlet and outlet and reversible control volume

$$\dot{m}(s_2 - s_1) = \sum_{k} \left(\frac{\dot{Q}}{T}\right)_{k} \left(\frac{kw}{K}\right)$$

F. Steady-state, one inlet and outlet adiabatic and reversible control volume

$$\dot{m}(s_2 - s_1) = 0 \left(\frac{kw}{K}\right)$$

G. Steady-state cycle comprised of open system (control volume) processes

$$0 = \sum_{k} \left(\frac{\dot{Q}}{T}\right)_{k} + \dot{S}_{gen}\left(\frac{kw}{K}\right)$$

Can substitute specific properties (kJ/kg-K) for each term above (divide by mass or mass flow rate)

A. Ideal (incompressible) liquids and solids:  $s_2 - s_1 = C_v \ln\left(\frac{T_2}{T_1}\right)$  where T in Kelvin and  $C_V = C_P$ 

NOTE: isothermal processes in ideal liquids or solids are isentropic.

# B. Ideal gases: $s_2 - s_1 = C_v \ln\left(\frac{T_2}{T_1}\right) + R \ln\left(\frac{v_2}{v_1}\right) OR \quad s_2 - s_1 = C_P \ln\left(\frac{T_2}{T_1}\right) - R \ln\left(\frac{P_2}{P_1}\right)$

Equivalent formulas for ideal gases where T in Kelvin and  $C_P = C_V + R$ <u>Problem solving hint</u>: can use isentropic ideal gas process formula to find T given P or v values to then use in 1<sup>st</sup> law calculation of energy terms.

- C. Non-ideal pure substances use tables for water/steam (A-4 A-6) or R-134a (A-11 A-13)
  - (i)  $s_{mixture} = x(s_{fg}) + s_f$  (at appropriate  $T_{sat}$  or  $P_{sat}$ )
  - (ii)  $s_{compressed liquid} \approx s_f$  at system  $T = T_{sat}$

Multiply by mass or mass flow rate for extensive property, kJ/K or kw/K

## **Entropy Balance Problem solving.**

- 1. Find appropriate entropy balance formula to account for process conditions (e.g., reversible, adiabatic, etc.)
- 2. Calculate heat (Q) term using the first law, if necessary
- 3. Calculate change in entropy property term ( $\Delta s$ ) using appropriate formula or table

# **T-S DIAGRAMS**

General. For internally reversible processes, area under process line = Q (+ for increasing entropy and – for decreasing entropy during process). Line A is isothermal process. Line B is isentropic process. For Cycle, area enclosed by lines = net heat transfer and  $Q_{net} = W_{net} (1^{st} Law)$ 



**T-s diagram for Carnot Cycles** consisting of two isothermal processes (one expansion and one compression) and two isentropic processes (one compression and one expansion)



Heat engine: signs for  $Q_{net} = W_{net}$  terms will be positive

Refrigerator/Heat Pump: signs for  $Q_{net} = W_{net}$  terms will be negative

## HEAT ENGINES AND REFRIGERATION CYCLES

I. Heat Engines: Produce work from heat in cycle with some heat rejected.

- A. <u>All Heat Engines</u>  $\eta = \frac{W_{net}}{Q_{net}} = \frac{w_{net}}{q_H} = \frac{\dot{W}_{net}}{\dot{Q}_H} = 1 - \frac{Q_L}{Q_H} = 1 - \frac{\dot{q}_L}{q_H} = 1 - \frac{\dot{Q}_L}{\dot{Q}_H} = \frac{\dot{W}_{net}}{\dot{m}(q_H)}$ B. <u>Carnot Heat Engines</u>  $\eta_{carnot} = 1 - \frac{T_L}{T_H} \frac{(Kelvin)}{(Kelvin)} \text{ and all the relations in part IA.}$
- II. <u>Refrigerators and Heat Pumps: Transfer heat from low temperature reservoir to high temperature</u> reservoir with work input.

A. All refrigerators

$$COP_{R} = \frac{Q_{L}}{W_{in}} = \frac{Q_{L}}{\dot{W}_{in}} = \frac{q_{L}}{w_{in}} = \frac{1}{\left(\frac{Q_{H}}{Q_{L}} - 1\right)} = \frac{1}{\left(\frac{\dot{Q}_{H}}{\dot{Q}_{L}} - 1\right)} = \frac{1}{\left(\frac{q_{H}}{q_{L}} - 1\right)} = \frac{\dot{m}q_{L}}{\dot{W}_{in}}$$

#### B. Carnot refrigerator

$$COP_{R,Carnot} = \frac{1}{\left(\frac{T_H}{T_L} - 1\right)}$$
 and all the relations in part IIA

### C. All heat pumps

$$COP_{HP} = \frac{Q_{H}}{W_{in}} = \frac{\dot{Q}_{H}}{\dot{W}_{in}} = \frac{q_{H}}{w_{in}} = \frac{1}{\left(1 - \frac{Q_{L}}{Q_{H}}\right)} = \frac{1}{\left(1 - \frac{\dot{Q}_{L}}{\dot{Q}_{H}}\right)} = \frac{1}{\left(1 - \frac{q_{L}}{\dot{Q}_{H}}\right)} = \frac{\dot{m}q_{H}}{\dot{W}_{in}} = COP_{R} + 1$$

D. Carnot heat pump

 $COP_{HP,Carnot} = \frac{1}{\left(1 - \frac{T_L}{T_H}\right)}$  and all the relations in part IIC

IDEAL RANKINE CYCLE (internally reversible processes okay to evaluate on T-s diagram)

- 1. Two isentropic processes (pump and turbine)
- 2. One Isobaric process (boiler)
- 3. One isothermal and isobaric process (condenser)
- 4. Typically, unless otherwise specified, saturated liquid at pump inlet, compressed liquid at boiler inlet, superheated vapor at turbine inlet, mixture at condenser inlet.



s (kJ/kg-K)

**Rankine Cycle Characteristics** 

Process	Device	Condition	Energy
1→2	Pump	Isentropic	Work Input, w <sub>P</sub>
2→3	Boiler	Isobaric	Heat Input, q <sub>H</sub>
3→4	Turbine	Isentropic	Work Output, w <sub>T</sub>
4→1	Condenser	Isothermal and Isobaric	Heat Output, qL

State	Phase	T (°C)	P (kPa)	H (kJ/kg)	s (kJ/kg-K)
1	Saturated	$\mathbf{T}_1 = \mathbf{T}_{\text{sat}} \ @ \ \mathbf{P}_1$	$P_1 = P_{condenser}$	$h_f @ P_1$	$s_1 = s_f @ P_1$
	liquid				
2	Compressed	~ T <sub>1</sub>	$P_2 = P_{boiler}$	$h_2 = h_1 + v (P_2 - P_1)$	$s_2 = s_1$
	Liquid				
3	Superheated	$T_3$	$\mathbf{P}_3 = \mathbf{P}_2$	h <sub>3</sub>	<b>S</b> <sub>3</sub>
	Vapor				
4	Saturated	$T_4 = T_{sat} @ P_4$	$P_4 = P_1$	$h_4 = x_4(h_{fg}) + h_f$	$s_4 = s_3$
	Mixture			Ŭ	

Typically, the pressures for the boiler and condenser and the temperature at the turbine inlet are given. Quality of mixture is found from isentropic turbine, given inlet temperature.

# 1<sup>st</sup> Law statements

$$-w_{P} = h_{2} - h_{1}$$
$$q_{H} = h_{3} - h_{2}$$
$$-w_{T} = h_{4} - h_{3}$$
$$q_{L} = h_{1} - h_{4}$$

 $q_{\text{NET}} = w_{\text{NET}}$  (with appropriate sign conventions)

 $q_H + q_L = w_P + w_T$  (with appropriate sign conventions)

Efficiency (efficiency < 1 and efficiency <  $\eta_{carnot}$ )

$$\eta = \frac{\dot{W}_{net}}{\dot{Q}_{H}} = 1 - \frac{q_{L}}{q_{H}} = 1 - \frac{(h_{4} - h_{1})}{(h_{3} - h_{2})} = \frac{\dot{W}_{net}}{\dot{m}(h_{3} - h_{2})} = \frac{\dot{m}[(h_{3} - h_{4}) - (h_{2} - h_{1})]}{\dot{Q}_{H}}$$

Entropy (entropy generated in surroundings > 0)

$$s_{gen} = -\sum_{k} \left(\frac{q}{T}\right)_{k} = -\frac{q_{H}}{T_{H}} - \frac{q_{L}}{T_{L}} = -\frac{(h_{3} - h_{2})}{T_{H}} - \frac{(h_{1} - h_{4})}{T_{L}} \quad \left(\frac{kJ}{kg - K}\right)$$

and

$$\dot{S}_{gen} = \dot{m}s_{gen} \quad \left(\frac{kw}{K}\right)$$

given temperature of high- and low-temperature reservoirs (heat source and sink)

**IDEAL VAPOR-COMPRESSION REFRIGERATION (VCR)** CYCLE (internally reversible processes except for throttle, still okay to evaluate on T-s diagram)

- 1. One isentropic process (compressor)
- 2. One isobaric process (condenser)
- 3. One isothermal and isobaric process (evaporator)
- 4. One isenthalpic process (throttling valve)
- 5. Typically, unless otherwise specified, saturated vapor at compressor inlet, superheated vapor at condenser inlet, saturated liquid at throttling valve inlet, saturated mixture at evaporator inlet.



s (kJ/kg-K)

CR Cycle Characteristics
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Process	Device	Condition	Energy
1→2	Compressor	Isentropic	Work Input, w <sub>C</sub>
2→3	Condenser	Isobaric	Heat Rejected, q <sub>H</sub>
3→4	Throttling Valve	Isenthalpic	
4→1	Evaporator	Isothermal and Isobaric	Heat Input, qL

State	Phase	$T(^{o}C)$	P (kPa)	h (kJ/kg)	s (kJ/kg-K)
1	Saturated	$\mathbf{T}_1 = \mathbf{T}_{\text{sat}} @ \mathbf{P}_1$	$\mathbf{P}_1 = \mathbf{P}_{evaporator}$	$h_{g} @ P_{1}$	$s_1 = s_g @ T_{sat}$
	vapor				
2	Superheated	$T_2$	$P_2 = P_{condenser}$	h <sub>2</sub>	$s_2 = s_1$
	vapor				
3	Saturated	T <sub>sat</sub> @ P <sub>3</sub>	$P_3 = P_2$	h <sub>f</sub> @ P <sub>3</sub>	s <sub>f</sub> @ P <sub>3</sub>
	liquid				
4	Saturated	$T_4 = T_{sat} @ P_4$	$P_4 = P_1$	$h_4 = h_3$	$s_4 = x_4(s_{fg}) + s_f$
	Mixture				@ P <sub>4</sub>

Typically, the pressures for the boiler and condenser are given.  $T_2$  and  $h_2$  are found from isentropic compressor relation and interpolation in table to match  $s_2 = s_1$ .

## 1<sup>st</sup> Law statements

$$-w_{C} = h_{2} - h_{1}$$
$$q_{H} = h_{3} - h_{2}$$
$$h_{4} = h_{3}$$
$$q_{L} = h_{1} - h_{4}$$

 $q_{NET} = w_{NET}$  (with appropriate sign conventions)

 $q_H + q_L = w_C$  (with appropriate sign conventions)

**COP**<sub>R</sub>

$$COP_{R} = \frac{\dot{Q}_{L}}{\dot{W}_{C}} = \frac{q_{L}}{w_{C}} = \frac{(h_{1} - h_{4})}{(h_{2} - h_{1})} = \frac{1}{\left(\frac{q_{H}}{q_{L}} - 1\right)} = \frac{1}{\left(\frac{(h_{2} - h_{3})}{(h_{1} - h_{4})} - 1\right)} = \frac{\dot{m}(h_{1} - h_{4})}{\dot{W}_{C}}$$

COP<sub>HP</sub>

$$COP_{HP} = \frac{\dot{Q}_{H}}{\dot{W}_{C}} = \frac{q_{H}}{w_{C}} = \frac{(h_{2} - h_{3})}{(h_{2} - h_{1})} = \frac{1}{\left(1 - \frac{q_{L}}{q_{H}}\right)} = \frac{1}{\left(1 - \frac{(h_{1} - h_{4})}{(h_{2} - h_{3})}\right)} = \frac{\dot{m}(h_{2} - h_{3})}{\dot{W}_{C}}$$

Entropy (entropy generated in surroundings > 0) for both VCR refrigerator and heat pump

$$s_{gen} = -\sum_{k} \left(\frac{q}{T}\right)_{k} = -\frac{q_{H}}{T_{H}} - \frac{q_{L}}{T_{L}} = -\frac{(h_{3} - h_{2})}{T_{H}} - \frac{(h_{1} - h_{4})}{T_{L}} \quad \left(\frac{kJ}{kg - K}\right)$$

and

$$\dot{\mathbf{S}}_{gen} = \dot{m}\mathbf{s}_{gen} \quad \left(\frac{kw}{K}\right)$$

given temperature of high- and low-temperature reservoirs (heat source and sink)